



ATTORNEY DOCKET NO. 9898-304  
CLIENT REF. NO. SS-18222-US

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Seok-Jun WON, et al.  
Serial No.: 10/748,308 Examiner: Not yet assigned  
Filing Date: December 29, 2003 Group Art Unit: 2651  
Confirmation No.: 7991  
Title: CAPACITOR OF SEMICONDUCTOR DEVICE AND METHOD FOR  
MANUFACTURING THE SAME

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or  
(Within three months of filing national application; or date of entry of International application;  
or before mailing date of first office action on the merits; or before the mailing of a first Office  
Action after the filing of a request for continued examination under §1.114; whichever occurs  
last)
- ☒ under 37 CFR 1.97 (c) together with either a:  
☒ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p); or  
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:  
☐ Statement under 37 CFR 1.97 (e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of  
the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with  
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)  
may be material to the examination of this application and for which there may be a duty to disclose in accordance  
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A  
duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

  
Hosoon Lee  
Limited Recognition Under 37 CFR § 103.9(b)

MARGER JOHNSON & McCOLLOM, P.C.  
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503-222-3613

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Mail Stop Amendment, Commissioner  
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  
Date: December 22, 2004

  
Stormi R. Davis

PATENT APPLICATION

Atty. Docket No.: 9898-304 Serial No.: 10/748,308  
Applicant: Seok-Jun WON, et al.  
Filing Date: December 29, 2003 Group: 2651



INFORMATION DISCLOSURE CITATION  
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub</u> <u>Class</u>
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FOREIGN PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country</u>	<u>Name</u>
_____	_____	2001-0065182	Jul. 7, 01	Korea	Min-Su KIM

OTHER DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>
_____	_____	English language abstract of Korean Publication No. 2001-0065182

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_



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**STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT  
(37 CFR 1.97(e))**

I, Hosoon Lee, the person signing below state that:

each item of information contained in the Information Disclosure Statement submitted herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement

no information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this Statement after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Statement.

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Hosoon Lee

Limited Recognition Under 37 CFR § 10.9(b)

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
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Stormi R. Davis